

FORM PTO-1390 (REV. 12-2001)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 4929/PCT	
<b>TRANSMITTAL LETTER TO THE UNITED STATES          DESIGNATED/ELECTED OFFICE (DO/EO/US)          CONCERNING A FILING UNDER 35 U.S.C. 371</b>				U.S. APPLICATION NO. (If known, see 37 CFR 1.5 unknown to be assigned <b>10/560400</b>	
INTERNATIONAL APPLICATION NO. PCT/JP2004/017925		INTERNATIONAL FILING DATE 02 December 2004 (02.12.2004)		PRIORITY DATE CLAIMED 05 December 2003 (05.12.2003)	
TITLE OF INVENTION Surface-Coated Cutting Tool				24 February 2004 (24.02.2004)	
APPLICANT(S) FOR DO/EO/US Haruyo FUKUI and Naoya OMORI				28 July 2004 (28.07.2004)	
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.					
2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.					
3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.					
4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).					
5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))					
a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).					
b. <input type="checkbox"/> has been communicated by the International Bureau.					
c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).					
6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). The Translation					
a. <input checked="" type="checkbox"/> is attached hereto, along with a Translator's Declaration. is accurate.					
b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).					
7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))					
a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).					
b. <input type="checkbox"/> have been communicated by the International Bureau.					
c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.					
d. <input checked="" type="checkbox"/> have not been made and will not be made.					
8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).					
9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (copy - 3 pages)					
10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).					
Items 11 to 20 below concern document(s) or information included:					
11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. Form PTO-1449, copy of Int. Search Report, 5 references, 10 English abstracts.					
12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.					
13. <input type="checkbox"/> A <b>FIRST</b> preliminary amendment.					
14. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.					
15. <input type="checkbox"/> A substitute specification.					
16. <input type="checkbox"/> A change of power of attorney and/or address letter.					
17. <input checked="" type="checkbox"/> Other items or information:					
a. a return receipt postcard;					
b. Form PTO-2038 to cover the filing fee & fee for Assignment Recordal;					
c. 8 Figs on 3 sheets of formal drawings					
NOTE: The priority of Japanese Patent Applications 2003-408013, filed in Japan on December 5, 2003; 2004-048762, filed in Japan on February 24, 2004; and 2004-220824, filed in Japan on July 28, 2004 are claimed for the present Application under 35 USC §119.					
NOTE: The entire disclosure of PCT International Application PCT/JP2004/017925 is incorporated herein by reference.					
NOTE: This Application has been assigned to: SUMITOMO ELECTRIC HARDMETAL CORP. of: 1-1, Koyakita 1-chome, Itami-shi, Hyogo, 664-0016 Japan.					
NOTE: Please print the Assignee data with the Published Application.					

USPS EXPRESS MAIL  
 EV 636 851 788 US  
 DEC 12 2005

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IAP9 Rec'd PCT/PTO 12 DEC 2005

U.S. APPLICATION NO. <b>10/560400</b> unknown-to-be assigned	INTERNATIONAL APPLICATION NO. PCT/JP2004/017925	ATTORNEY'S DOCKET NUMBER 4929/PCT
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21. ☒ The following fees are submitted:

**BASIC NATIONAL FEE** (37 CFR 1.492 (a) (1) - (5)):

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.....

National Phase Filing Fee (\$300), Search Fee (\$400), Examination Fee (\$200) \$900.-

☒ with International Search Report

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....

International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) .....

International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) .....

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	11 - 20 =	0	x	\$ 0.00
Independent claims	1 - 3 =	0	x	\$ 0.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				\$ 0.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 0.00

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.

**SUBTOTAL =**

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

**TOTAL NATIONAL FEE =**

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

**TOTAL FEES ENCLOSED =**

	Amount to be refunded:	\$
	charged:	\$

**CALCULATIONS PTO USE ONLY**

  

a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any deficiency in or any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0507. ~~XXXXXX~~

d. ☒ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

  

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

  

**The Power of Attorney and the Correspondence**  
**Address for this Application are to**  
**CUSTOMER NO.: 021553.**

*Walter F. Fasse*  
 SIGNATURE  
 Dec. 12, 2005  
 Walter F. Fasse  
 NAME  
 36132  
 REGISTRATION NUMBER